



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,471	07/20/2001	Jeffery K. Wilkins	WIL-102

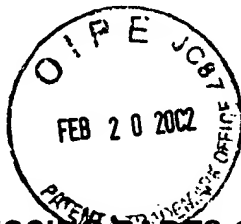
CONFIRMATION NO. 1749

## FORMALITIES LETTER



\*OC000000006506519\*

ANTHONY G. DERVAN  
LUMEN INTELLECTUAL PROPERTY SERVICES  
SUITE 110  
45 CABOT AVENUE  
SANTA CLARA, CA 95051



COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 09/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

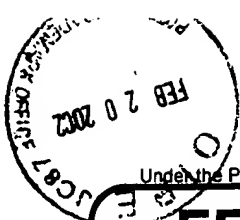
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1152.
  - \$1152 for 64 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1992.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).

*A copy of this notice **MUST** be returned with the reply.*



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 460.00

**Complete if Known**

Application Number	09/910,471
Filing Date	07/20/2001
First Named Inventor	Jeffrey K. Wikins
Examiner Name	
Group Art Unit	2161
Attorney Docket No.	WIL-102

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number   
Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ **Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	<input type="text"/>
106 330	206 165	Design filing fee	<input type="text"/>
107 510	207 255	Plant filing fee	<input type="text"/>
108 740	208 370	Reissue filing fee	<input type="text"/>
114 160	214 80	Provisional filing fee	<input type="text"/>

SUBTOTAL (1) (\$ ) **2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
<input type="text"/>	-20** = <input type="text"/>	X <input type="text"/>	= <input type="text"/>
Independent Claims	-3** = <input type="text"/>	X <input type="text"/>	= <input type="text"/>
Multiple Dependent			= <input type="text"/>

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65		Surcharge - late filing fee or oath	<input type="text"/>
127 50	227 25		Surcharge - late provisional filing fee or cover sheet	<input type="text"/>
139 130	139 130		Non-English specification	<input type="text"/>
147 2,520	147 2,520		For filing a request for <i>ex parte</i> reexamination	<input type="text"/>
112 920*	112 920*		Requesting publication of SIR prior to Examiner action	<input type="text"/>
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action	<input type="text"/>
115 110	215 55		Extension for reply within first month	<input type="text"/>
116 400	216 200		Extension for reply within second month	<input type="text"/>
117 920	217 460		Extension for reply within third month	460.00
118 1,440	218 720		Extension for reply within fourth month	<input type="text"/>
128 1,960	228 980		Extension for reply within fifth month	<input type="text"/>
119 320	219 160		Notice of Appeal	<input type="text"/>
120 320	220 160		Filing a brief in support of an appeal	<input type="text"/>
121 280	221 140		Request for oral hearing	<input type="text"/>
138 1,510	138 1,510		Petition to institute a public use proceeding	<input type="text"/>
140 110	240 55		Petition to revive - unavoidable	<input type="text"/>
141 1,280	241 640		Petition to revive - unintentional	<input type="text"/>
142 1,280	242 640		Utility issue fee (or reissue)	<input type="text"/>
143 460	243 230		Design issue fee	<input type="text"/>
144 620	244 310		Plant issue fee	<input type="text"/>
122 130	122 130		Petitions to the Commissioner	<input type="text"/>
123 50	123 50		Processing fee under 37 CFR 1.17(q)	<input type="text"/>
126 180	126 180		Submission of Information Disclosure Stmt	<input type="text"/>
581 40	581 40		Recording each patent assignment per property (times number of properties)	<input type="text"/>
146 740	246 370		Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="text"/>
149 740	249 370		For each additional invention to be examined (37 CFR § 1.129(b))	<input type="text"/>
179 740	279 370		Request for Continued Examination (RCE)	<input type="text"/>
169 900	169 900		Request for expedited examination of a design application	<input type="text"/>

Other fee (specify) 

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 460.00

COPY OF PAPERS  
ORIGINALLY FILED**SUBMITTED BY**

Name (Print/Type) Ron Jacobs

Signature

Registration No.  
(Attorney/Agent)

50,142

**Complete (if applicable)**

Telephone 408-260-7300

Date 2/1/2002

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20503.

460.00 OP

09/910,471

02/27/2002

01 FC:217